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Top Advisers

## The Up-And-Comers

Forbes.com staff, 10.06.08, 06:00 PM EDT

**In the asset management biz, below the radar is \$500 million or less. Here are 25 RIA firms to watch.**

When it comes to the investment advisory business, critical mass begins when you surpass \$500 million in assets under management. With the help of Charlotte, N.C.-based RIA Database, Forbes.com compiled a listing of the top 25 emerging investment-advisory firms.

Rank	Firm	City	State	Web site	Assets (\$ mil)*	Executive	Executive Title
1	Gemmer Asset Management	Walnut Creek	CA	www.gemmerllc.com	\$505.8	David Gemmer	Managing Member
2	Cloud, Neff Management	Tulsa	OK	www.cloudneff.com	\$500.0	Richard Cloud	President
3	Founders Financial Network	Cupertino	CA	www.foundersfinancial.net	\$482.5	Robert Kresek	President
4	GHP Investment Advisors	Denver	CO	www.ghpia.com	\$479.7	Brian Friedman	President
5	Horan Capital Management	Baltimore	MD	www.horancm.com	\$477.0	Patrick Horan	CEO
6	Vantage Investment Advisors	State College	PA	www.vantageadvisors.com	\$476.9	Robert Thomas	President
7	Cabot Money Management	Salem	MA	www.ecabot.com	\$471.7	Robert Lutts	President
8	Medley & Brown	Ridgeland	MS	www.medleybrown.com	\$453.0	Tim Medley	Managing Member
9	Greenbaum And Orecchio	Old Tappan	NJ	www.feeonlywealthmanagement.com	\$449.7	Gary Greenbaum	President
10	Salient Wealth Management	San Rafael	CA	www.salientwealth.com	\$448.4	Richard Stone	President
	Willow Creek					Bruce	

11	Financial Services	Sebastopol	CA	www.wcfsinc.com	\$443.4	Dzieza	President
12	Woodard & Company	Advance	NC	www.wcamg.com	\$443.1	John Woodard	President
13	Pillar Pacific Capital Management	Daly City	CA	www.pillarpacific.com	\$442.1	Mindy Ying	President
14	The Financial Advisory Group	Houston	TX	www.finadvisors.com	\$436.5	Richard Alphonso	President
15	Corrigan Financial	Middletown	RI	www.corriganfinancialinc.com	\$461.2	Daniel Corrigan	President
16	Hufford Financial Advisors	Indianapolis	IN	www.huffordfinancial.com	\$425.3	Brian Hufford	President
17	Howard Financial Services	Dallas	TX	www.howardfinancialservices.com	\$421.8	Theresa Stuteville	President
18	Durbin Bennett Peterson	Austin	TX	www.dbpaustin.com	\$418.6	Harold Peterson	Chief Investment Officer & Partner
19	Dixon Hughes Wealth Advisors	Asheville	NC	wealthadvisorgroup.com	\$418.4	Frank Hoyle	President
20	FBB Capital Partners	Bethesda	MD	www.fbbccapitalpartners.com	\$410.1	Susan Fulton	Owner
21	Sharkey Howes & Javer	Denver	CO	www.shwj.com	\$402.3	Joel Javer	President
22	TD Capital Management	Memphis	TN	www.tdcapitalmanagement.com	\$402.0	Lloyd Smith	Managing Director/Member
23	JWA Financial Group	Dallas	TX	www.jwafinancialgroup.com	\$396.5	James Whiddon	President, CEO
24	Stepp & Rothwell	Overland Park	KS	steppandrothwell.com	\$393.1	Kathy Stepp	President
25	The Mutual Fund Store	Overland Park	KS	www.mutualfundstore.com	\$389.6	Christopher Braudis	President

Source: [RIA Database](#)

\*Discretionary assets under management as of Sept. 30, 2008

[RIA Database](#)

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